

FORM PTO-1390  
(REV 12-2001)

ATTORNEY DOCKET NUMBER

6009-4710

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

Not yet assigned  
**10/500345**INTERNATIONAL APPLICATION  
PCT/FI02/01036INTERNATIONAL FILING DATE  
18 December 2002PRIORITY DATE CLAIMED  
28 December 2001

TITLE OF INVENTION

APPARATUS FOR CONTINUOUS CASTING OF METAL STRIPS

APPLICANT(S) FOR DO/EO/US

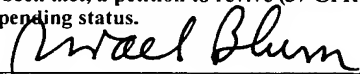
OSTLUND, Sture; and SIVESSON, Patrik

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) below.
4. ☒ The US has been elected.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English translation of the International application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included.

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or Information: PCT Published Application; Search Report; Notice Informing the Applicant of the Communication of the International Application to the Designated Offices; Notification of Transmittal of International Preliminary Examination Report with International Preliminary Report on Patentability, a check in the amount of \$1080.00 in payment of the filing fee; and a return receipt postcard.

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) <b>Not Yet Assigned</b>		INTERNATIONAL APPLICATION NO. <b>10/500345</b>		ATTORNEY'S DOCKET NO. <b>6009-4710</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE</b> (37 CFR 1.492 (a) (1) - (5) ): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,080.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO...\$920.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO.....\$770.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4).....\$730.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT. =</b>				CALCULATIONS      PTO USE ONLY	
Surcharge of <b>\$130</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	6 - 20 =	0	X \$18.00	\$0	
Independent claims	1 - 3 =	0	X \$86.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$290.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1080.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$1080.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$40.00	
<b>TOTAL NATIONAL FEE =</b>				\$1080.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+	
<b>TOTAL FEES ENCLOSED</b>				\$1120.00	
				Amount to be refunded:	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1,080.00 and a check in the amount of \$40.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No.      in the amount of \$      to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500 (6009-4710). A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<b>ISRAEL BLUM</b> MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, NY 10154 212-758-4800 (telephone) 212-751-6849 (facsimile)			<div style="text-align: center;">             SIGNATURE         </div> <div style="text-align: center;">           Israel Blum            NAME         </div> <div style="text-align: center;">           26.710            REGISTRATION NO.         </div>		

Docket No. 6009-4710

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): OSTLUND, Sture and SIVESSON, Patrik  
Group Art Unit: Not Yet Assigned  
Serial No.: Not Yet Assigned  
Examiner: Not Yet Assigned  
Filed: Herewith  
For: APPARATUS FOR CONTINUOUS CASTING OF METAL STRIPS

**EXPRESS MAIL CERTIFICATE**

Mail Stop Box PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: EV 511407068 US  
Date of Deposit: June 24, 2004

I hereby certify that the following attached paper(s) and/or fee

1. Transmittal letter to the US Designated Elected Office Concerning Filing Under 35 USC 371;
2. Specification 8pp; Claims 2pp; Abstract 1pp;
3. Drawings 4 sheets (Figs 1-3);
4. Preliminary Amendment;
5. Information Disclosure Statement;
6. PTO Form 1449 with Cited References;
7. Published International Application No. WO 03/055621;
8. Notice Informing the Applicant of the Communication of the International Application to the Designated Offices;
9. International Preliminary Examination Report ;
10. Recordation Form Cover Sheet Patents Only (2 copies), including executed Assignment
11. Executed Declaration (6 pp.)
12. Checks for \$1080.00 and \$40.00; and
13. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop Box PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jafet N. Cotto

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile